



PATENT  
Attorney Docket No. 3070

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LUCOVSKY et al.

Group Art Unit: 2176

Serial No. 10/017,680

Examiner: Unassigned

Filed: October 22, 2001

For: Schema-Based Services for Identity-Based  
Data Access

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted herewith for consideration by the Examiner in the examination of the above-identified patent application. The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so. Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is believed to be filed before the mailing date of a first Office action on the merits. Accordingly, no fee is owed by applicant. If, however, an Office action has been issued, the undersigned attorney hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the attorney signing after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. If however there are any fees due, the Patent Office is hereby authorized to charge any underpayments associated with this submission to Deposit Account 50-1618.

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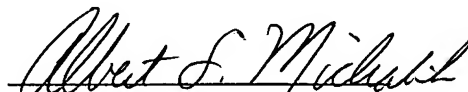
(425) 836-8957 (facsimile)

In re Application of LUCOVSKY et al.  
Serial No. 10/017,680

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT, PTO form 1449 and copies of the cited references, along with Change of Correspondence Address in Application, are being deposited with the United States Postal Service on the date shown below, with sufficient postage as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: September 10, 2002

  
Albert S. Michalik

3070 IDS Statement

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
3070SERIAL NO.  
10/017,680INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(Use several sheets if necessary)

APPLICANT  
LUCOVSKY et al.FILING DATE  
October 22, 2001GROUP  
2176

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,956,730	9/21/1999	BURROUGHS et al.			
	AB	5,634,053	5/27/1997	NOBLE et al.			

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO*

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AC	PCT International Search Report with Mailing Date of 6/10/02